AMA Journal of Ethics®

June 2024, Volume 26, Number 6: E448-455

CASE AND COMMENTARY: PEER-REVIEWED ARTICLE

What Does Disability Justice Require of Antimicrobial Stewardship? Katie Savin, PhD, MSW, Laura Guidry-Grimes, PhD, HEC-C, and Olivia S. Kates, MD, MA

Abstract

This commentary on a case argues that antimicrobial stewardship requires an intersectional disability justice approach if it is to be equitable, particularly for multiply marginalized patients with disabilities residing in nursing homes, who are more susceptible to antibiotic underand overtreatment. Disability justice concepts emphasize resistance to structural and capitalist roots of ableism and prioritize leadership by disabled persons. A disability justice perspective on antimicrobial stewardship means prioritizing clarification of presumptive diagnoses of infection in vulnerable patients, clinician education led by disabled persons, and data collection.

Case

Dr S is a resident physician responsible for admitting patients overnight. A patient, M, is being transferred from a nursing home (NH) for tachycardia and hypotension. The NH's physician, Dr P, started M on a broad-spectrum antibiotic prior to M's transfer. Dr S notices in M's record that M has had multiple episodes of being treated with antibiotics in-hospital or in the NH, but with no specific infection diagnosis. Dr S worries about exacerbating this pattern of possible excessive antibiotic use that might expose M to unnecessary toxicity and threat of antibiotic resistance. Dr S plans to be judicious with antibiotics when treating M.

M arrives on the unit and is now under Dr S's care. M is 57 years old with a history of cardiac arrest resulting in anoxic brain injury. M has a tracheostomy and normally breathes on her own without a ventilator; however, for transport from the NH to the hospital, she has been connected to a ventilator. M turns her head to look at Dr S when Dr S speaks to M, but M cannot speak while connected to the ventilator, appears to Dr S to be uncomfortable, and does not reliably signal "yes" or "no" in response to Dr S's questions. Now that Dr S has met M, Dr S questions her initial instinct to limit antibiotics for M.

Commentary

Up to 70% of NH residents are prescribed antibiotics over the course of a year, and 40% to 75% of such prescriptions may be inappropriate or unnecessary. Many residents live

in NHs for years, compounding the effects of prescribing practices.² Factors driving antibiotic overprescription in NHs include the complexity and frailty of the patient population and concerns about infection control in a congregate setting. On-site testing for infectious diseases is not reliably available, leading to empirical treatment without definitive diagnosis; furthermore, prescribers are typically off-site, leading to treatment decisions that are often based on nurses' evaluations.^{2,3,4,5}

While there is extensive literature on the particular importance of antimicrobial stewardship in NHs, the issue has not been addressed from the perspective of disability as a social identity. Disabled people⁶ (a term we use in place of "people with disabilities," in recognition of the stated preference for identify-first language of multiple disabled activists and scholars) are at risk for both over- and underprescribing of antibiotics and subsequent antimicrobial resistance (AMR). People with certain disabilities, such as intellectual and developmental disabilities and functional disabilities requiring heavy nursing contact, are particularly vulnerable to AMR.^{7,8} Furthermore, NH residents are a vulnerable group of disabled people facing disproportionately high rates of AMR.⁷ Principles of disability justice (DJ) hold significance for understanding disabled people's vulnerability to both over- and underprescribing of antibiotics by framing disability oppression from intersectional, historicized, and structural perspectives. In particular, the principles of *intersectionality*, *leadership by those most impacted*, and *anticapitalist politics* inform this article.⁹

Disability Justice and Nursing Homes

From a DJ perspective that foregrounds systemic forms of ableism in a capitalist society, we consider what makes disabled persons like M susceptible to infections and to AMR in the first place. The DJ commitment to anticapitalist politics refers to resistance to exploitative wealth accumulation and labor productivity as a measure of human worth. This resistance comes readily to many disabled people who face exclusion from labor markets. Perceptions of disabled people as non-contributors to market economies drives prejudice, including against increased numbers of people who are institutionalized outside their communities. Access to community-based long-term care is limited by long wait lists and states' allocation of Medicaid funding to NHs as opposed to community care. ¹⁰ Systemic prioritization of NH care results in disabled people's relegation to congregate care sites where their susceptibility to both infection and antibiotic misuse increases. NHs are a setting for pathogenic vulnerabilities, which arise when something "intended to ameliorate vulnerability has the paradoxical effect of exacerbating existing vulnerabilities or generating new ones." ¹¹

Disabled people also face barriers to outpatient care that may lead to underuse as well as overuse of antibiotics, such as lack of physical access to clinicians' offices or outpatient diagnostic evaluations, in addition to experiences of ableism that lead to avoidance of primary and preventive care. Biased views among physicians that might lead to such avoidance are well documented, as are accessibility needs. ^{12,13} Both dangers of antibiotic over- and underprescribing are present in the case of M: the NH doctor gives broad-spectrum antibiotics before any diagnostic workup, and Dr S considers withholding antibiotics in reaction to a pattern of overprescribing.

DJ requires overhauling systems of care that perpetuate pathogenic vulnerabilities, as well as social disparities. ¹⁴ Attitudinal, architectural, and institutional barriers to health care contribute more generally to disability-based health disparities and to delayed care and undertreatment of disabled people. ^{12,15,16,17} Disabled people of color are more likely

than their White counterparts to be in lower-quality NHs, which have performance deficiencies, higher occupancy, lower nurse staffing, and fewer financial resources. Moreover, institutional racial segregation among NHs is associated with negative facility-level quality indicators, as demonstrated by exacerbated racial inequities among NH residents during the COVID-19 pandemic. Management and inequity may also have downstream effects on AMR, as the COVID-19 pandemic was associated with high rates of antimicrobial misuse, especially in low- and middle-income countries. Disability activists have raised concerns for years about for-profit NH facilities, including deficiencies in funding, staffing, regulatory and accountability mechanisms, and opportunities for patient self-determination. The NH industry has resisted regulatory action based on this activism for decades. Page 18.

Caring for Patient M

Bioethicist Jackie Leach Scully argues that nondisabled people tend to assume that if a disabled person is vulnerable in one area of life, they are globally vulnerable in all areas of life, a phenomenon that "is especially pernicious because of the insidious damage it does to other people's attitudes toward disabled people's own agency."24 In M's case, the ascription of global vulnerability could lead to false assumptions that M is incapable of communicating or participating in decision making. M's anoxic brain injury and her initial inability to indicate "yes" or "no" reliably could mean her decisional capacity is diminished, temporarily or permanently. Nevertheless, with additional support, M could potentially relay key information about her experiences, what she values, and whom she trusts to a surrogate decision-maker. (Even if M or a surrogate would not then dictate all aspects of antibiotic treatment, such input has diagnostic and therapeutic utility.) Participation in treatment discussions is a health care right that can be neglected when patients have cognitive- or speech-related disabilities, especially when institutional resources are limited.^{24,25} Dr S's team should work to safely remove the temporary ventilator and explore other means of communication and sources of information to facilitate M's agency. Infections, antimicrobial side effects, pain, and delirium also affect cognition, underscoring the urgency of addressing M's medical issues properly.

Resident Dr S faces a challenging situation in determining whether to provide or withhold antimicrobials for M. M's medical record lacks important details about the causes or types of infections she has experienced; she has quickly received antimicrobials and then been transferred back to the NH without clarification on these points. Without clarification of the presumptive diagnosis of infection, NH residents like M—particularly those multiply marginalized by race, class, and insurance status—will become stuck in a hospitalization carousel, suffer preventable harms from both infections and medications, and contribute to AMR risks for other NH residents.

At a 2019 conference hosted by The Joint Commission and Pew Charitable Trusts, the expert panel emphasized "diagnostic stewardship," which includes reducing testing that could have false positive or difficult-to-interpret results and thereby lead to unnecessary antimicrobial prescribing. ²⁶ We contend that another diagnostic stewardship priority should be ensuring equitable, thorough investigation of infections in vulnerable patients before starting broad-spectrum antibiotics and ongoing evaluation to consider stopping antibiotics. The option for continued intravenous medication administration in NHs makes it easier to transition patients back to their facility without seriously interrogating whether antibiotics should be stopped.

These complex considerations make it clear that it is problematic to have an overnight resident take on all the responsibilities of antimicrobial stewardship and caring for M. We recommend that Dr S reattempt communication with M in collaboration with a consulting speech pathologist, identify alternative sources of information about M's interests, and start a thorough diagnostic evaluation for causes of hypotension and tachycardia, including but not limited to infections. Beyond the individual case, residents like Dr S should be supported with hospital leadership commitment, multidisciplinary expertise, and decisional aids, all informed by principles of DJ and overseen by the hospital's antibiotic stewardship program.

Stewardship Recommendations

The Centers for Disease Control and Prevention recommends tracking data related to antibiotic prescriptions and indicators of AMR as one mechanism to monitor adherence to stewardship guidelines.¹ In accordance with the DJ principle of intersectionality, we recommend incorporating demographic data related to disability status as well as other social identity factors, such as race and class, that shape how people's disabilities are interpreted and what explicit or implicit biases they may face in health care systems.²7,28,29,30 Given well-documented inequities in myriad health care settings where antibiotics are prescribed, we can expect these inequities to manifest in antibiotic prescribing practices.³¹ Since inequitable care may show up as both under-and overprescribing, data tracking and analysis processes must incorporate data on the health care side (prescription and prescriber details, diagnostic workup, and treatment outcomes), as well as on the patient side (disability status and other sociodemographic details).

To improve care and mitigate disability-related bias, we further recommend anti-ableism training for health care professionals in connection with antibiotic stewardship programs. 32.33 Training should be provided by members of disability communities in alignment with the DJ principle of leadership by those most impacted. Interaction with disabled people in contexts outside of the patient-clinician dynamic can disrupt stereotypical perspectives of disabled people. Such training might include identification of common harmful assumptions about disability, such as global vulnerability. Clinicians should be cautioned to avoid conflating speech disabilities or nonverbal communication with impaired decision-making capacity. Although underused in hospital settings, augmentative and alternative communication strategies are important for providing equitable care to patients who are nonspeaking due to disability or critical illness, particularly for pain management and for communication about treatment goals.34,35,36 Finally, training should incorporate intersectional perspectives on disability and explain how racial and ethnic biases influence disability-related biases. For example, danger and criminality are associated with men of color with disabilities,³⁷ particularly those with intellectual and developmental disabilities, psychiatric disabilities, and substance use disorders, 37,38,39 and higher tolerance for pain is associated with Black people, as evidenced by the disproportionate undertreatment of pain in Black patients with chronic pain.40,41,42

Conclusion

A DJ perspective on antimicrobial stewardship entails diagnostic stewardship that prioritizes clarification of presumptive diagnoses of infection in vulnerable patients, clinician education led by disabled people, and data collection incorporating disability status as part of intersectional analyses of antimicrobial stewardship practices, each of which promotes anti-ableist practices and more equitable health care for disabled

people. Communication with patients about their symptoms, medical history, and goals for care is essential, particularly among multiply marginalized patients, even and especially if it takes additional steps to find the appropriate support. When it comes to mitigating disparate outcomes for disabled patients like M, time, though always at a premium for health care professionals, may be one of the few tools to redress long-standing health inequities and optimize antimicrobial prescribing.

References

- Core elements of antibiotic stewardship for nursing homes—antibiotic use. Centers for Disease Control and Prevention. Reviewed August 20, 2021. Accessed May 16, 2023. https://www.cdc.gov/antibiotic-use/core-elements/nursing-homes.html
- 2. Sloane PD, Huslage K, Kistler CE, Zimmerman S. Optimizing antibiotic use in nursing homes through antibiotic stewardship. *N C Med J.* 2016;77(5):324-329.
- Houben F, van Hensbergen M, Den Heijer CDJ, Dukers-Muijrers NHTM, Hoebe CJPA. Barriers and facilitators to infection prevention and control in Dutch residential care facilities for people with intellectual and developmental disabilities: a theory-informed qualitative study. *PLoS One*. 2021;16(10):e0258701.
- 4. Nicolle LE, Bentley DW, Garibaldi R, Neuhaus EG, Smith PW; SHEA Long-Term-Care Committee. Antimicrobial use in long-term-care facilities. *Infect Control Hosp Epidemiol*. 2000;21(8):537-545.
- 5. Sloane PD, Zimmerman S, Ward K, et al. A 2-year pragmatic trial of antibiotic stewardship in 27 community nursing homes. *J Am Geriatr Soc.* 2020;68(1):46-54.
- 6. Andrews EE, Powell RM, Ayers K. The evolution of disability language: choosing terms to describe disability. *Disabil Health J.* 2022;15(3):101328.
- 7. Takano C, Seki M, Shiihara H, et al. Frequent isolation of extended-spectrum beta-lactamase-producing bacteria from fecal samples of individuals with severe motor and intellectual disabilities. *J Infect Chemother*. 2018;24(3):182-187.
- 8. Min L, Galecki A, Mody L. Functional disability and nursing resource use are predictive of antimicrobial resistance in nursing homes. *J Am Geriatr Soc.* 2015;63(4):659-666.
- 9. Sins Invalid. Skin, tooth, and bone: the basis of movement is our people: a disability justice primer. *Reprod Health Matters*. 2017;25(50):149-150.
- 10. Chidambaram P, Burns A. How many people use Medicaid long-term services and supports and how much does Medicaid spend on those people? Kaiser Family Foundation. August 14, 2023. Accessed November 29, 2023. https://www.kff.org/medicaid/issue-brief/how-many-people-use-medicaid-long-term-services-and-supports-and-how-much-does-medicaid-spend-on-those-people/
- 11. Mackenzie C, Rogers W, Dodds S. Introduction: what is vulnerability. and why does it matter for moral theory? In: Mackenzie C, Rogers W, Dodds S, eds. *Vulnerability: New Essays in Ethics and Feminist Philosophy*. Oxford Academic Books; 2013:1-29.
- 12. lezzoni LI, Rao SR, Ressalam J, et al. Physicians' perceptions of people with disability and their health care. *Health Aff (Millwood)*. 2021;40(2):297-306.
- 13. Varadaraj V, Guo X, Reed NS, et al. Identifying accessibility requests for patients with disabilities through an electronic health record-based questionnaire. *JAMA Netw Open.* 2022;5(4):e226555-e226555.

- 14. Ne'eman A, Grabowski DC. Advancing community living for people with disabilities. *N Engl J Med*. 2023;388(20):1825-1827.
- 15. Emerson E, Madden R, Graham H, Llewellyn G, Hatton C, Robertson J. The health of disabled people and the social determinants of health. *Public Health*. 2011;125(3):145-147.
- 16. de Vries McClintock HF, Barg FK, Katz SP, et al. Health care experiences and perceptions among people with and without disabilities. *Disabil Health J.* 2016;9(1):74-82.
- 17. Rowland M, Peterson-Besse J, Dobbertin K, et al; Expert Panel on Disability and Health Disparities. Health outcome disparities among subgroups of people with disabilities: a scoping review. *Disabil Health J.* 2014;7(2):136-150.
- 18. Wong S, Ponder CS, Melix B. Spatial and racial covid-19 disparities in US nursing homes. Soc Sci Med. 2023;325:115894.
- 19. Mack DS, Jesdale BM, Ulbricht CM, Forrester SN, Michener PS, Lapane KL. Racial segregation across US nursing homes: a systematic review of measurement and outcomes. *Gerontologist*. 2020;60(3):e218-e231.
- 20. Weech-Maldonado R, Lord J, Davlyatov G, Ghiasi A, Orewa G. High-minority nursing homes disproportionately affected by COVID-19 deaths. *Front Public Health*. 2021;9:606364.
- 21. Walia K, Mendelson M, Kang G, et al. How can lessons from the COVID-19 pandemic enhance antimicrobial resistance surveillance and stewardship? *Lancet Infect Dis.* 2023;23(8):e301-e309.
- 22. King L. How government created and shaped the US nursing home industry. *Crit Sociol.* 2020;46(6):881-897.
- 23. Harrington C, Jacobsen FF, Panos J, Pollock A, Sutaria S, Szebehely M. Marketization in long-term care: a cross-country comparison of large for-profit nursing home chains. *Health Serv Insights*. 2017;10:1178632917710533.
- 24. Scully JL. Disability and vulnerability: on bodies, dependence, and power. In: Mackenzie C, Rogers W, Dodds S, eds. *Vulnerability: New Essays in Ethics and Feminist Philosophy*. Oxford Academic Books; 2013:204-221.
- 25. Brady NC, Bruce S, Goldman A, et al. Communication services and supports for individuals with severe disabilities: guidance for assessment and intervention. *Am J Intellect Dev Disabil*. 2016;121(2):121-138.
- 26. Baker DW, Hyun D, Neuhauser MM, Bhatt J, Srinivasan A. Leading practices in antimicrobial stewardship: conference summary. *Jt Comm J Qual Patient Saf.* 2019;45(7):517-523.
- 27. Powell RM. Applying the health justice framework to address health and health care inequities experienced by people with disabilities during and after COVID-19. Wash Law Rev. 2021;96(1):93-137.
- 28. Andrews EE, Ayers KB, Brown KS, Dunn DS, Pilarski CR. No body is expendable: medical rationing and disability justice during the COVID-19 pandemic. *Am Psychol.* 2021;76(3):451-461.
- 29. Abdul-Mutakabbir JC, Simiyu B. Exploring the intersection of racism, antimicrobial resistance, and vaccine equity. *Antimicrob Steward Healthc Epidemiol*. 2022;2(1):e134.
- 30. Harvey EJ, De Brún C, Casale E, Finistrella V, Ashiru-Oredope D. Influence of factors commonly known to be associated with health inequalities on antibiotic use in high-income countries: a systematic scoping review. *J Antimicrob Chemother*. 2023;78(4):861-870.
- 31. Fortin-Leung K, Wiley Z. What about race and ethnicity in antimicrobial stewardship? *Infect Control Hosp Epidemiol*. 2022;43(3):400-401.

- 32. Kaundinya T, Schroth S. Dismantle ableism, accept disability: making the case for anti-ableism in medical education. *J Med Educ Curric Dev*. 2022;9:23821205221076660.
- 33. Dhanani Z, Huynh N, Tan L, Kottakota H, Lee R, Poullos P. Deconstructing ableism in health care settings through case-based learning. *MedEdPORTAL*. 2022;18:11253.
- 34. Al-Yahyai ANS, Arulappan J, Matua GA, et al. Communicating to non-speaking critically ill patients: augmentative and alternative communication technique as an essential strategy. SAGE Open Nurs. 2021;7:23779608211015234.
- 35. Blackstone SW, Pressman H. Patient communication in health care settings: new opportunities for augmentative and alternative communication. *Augment Altern Commun.* 2016;32(1):69-79.
- 36. Jansson S, Martin TRS, Johnson E, Nilsson S. Healthcare professionals' use of augmentative and alternative communication in an intensive care unit: a survey study. *Intensive Crit Care Nurs*. 2019;54:64-70.
- 37. Harris JE. Reckoning with race and disability. *Yale Law J Forum*. 2021;130:916-958.
- 38. Dineen KK, Pendo E. Engaging disability rights law to address the distinct harms at the intersection of race and disability for people with substance use disorder. *J Law Med Ethics*. 2022;50(1):38-51.
- 39. Cooke K, Ridgway K, Pecora L, et al. Individual, social, and life course risk factors for experiencing interpersonal violence among autistic people of varying gender identities: a mixed methods systematic review. Res Autism Spectr Disord. 2024;111:102313.
- 40. Robinson-Lane SG, Hill-Jarrett TG, Janevic MR. "Ooh, you got to holler sometime": pain meaning and experiences of Black older adults. In: van Rysewyk S, ed. *Meanings of Pain. Volume 3: Vulnerable or Special Groups of People*. Springer International Publishing; 2022:45-64.
- 41. Pryma J. "Even my sister says I'm acting like a crazy to get a check": race, gender, and moral boundary-work in women's claims of disabling chronic pain. Soc Sci Med. 2017;181:66-73.
- 42. Hoffman KM, Trawalter S, Axt JR, Oliver MN. Racial bias in pain assessment and treatment recommendations, and false beliefs about biological differences between blacks and whites. *Proc Natl Acad Sci U S A*. 2016;113(16):4296-4301.

Katie Savin, PhD, MSW is an assistant professor at the California State University, Sacramento, School of Social Work. They have a background in clinical medical social work and as a disability activist. Their research focuses on disability bioethics, the social welfare state, social security disability benefits, and administrative burden.

Laura Guidry-Grimes, PhD, HEC-C is an associate staff bioethicist at the Cleveland Clinic and a clinical assistant professor at the Cleveland Clinic Lerner College of Medicine and Case Western Reserve University School of Medicine in Ohio. She provides clinical ethics consultation throughout the Northeast Ohio Cleveland Clinic system. Her research focuses on the nature of vulnerability in clinical contexts, disability bioethics, and psychiatric ethics.

Olivia S. Kates, MD, MA is an assistant professor of medicine at Johns Hopkins Medicine in the Division of Infectious Diseases in Baltimore, Maryland, where she is an associate director of ethics and qualitative research at the Transplant Research Center. She is

also a bioethicist at the Berman Institute of Bioethics at Johns Hopkins University. She studies ethical challenges in transplantation and infectious diseases, including pretransplant vaccination requirements, antimicrobial stewardship in transplantation, and xenotransplantation.

Editor's Note

The case to which this commentary is a response was developed by the editorial staff

Citation

AMA J Ethics. 2024;26(6):E448-455.

DOI

10.1001/amajethics.2024.448.

Conflict of Interest Disclosure

Authors disclosed no conflicts of interest.

The people and events in this case are fictional. Resemblance to real events or to names of people, living or dead, is entirely coincidental. The viewpoints expressed in this article are those of the author(s) and do not necessarily reflect the views and policies of the AMA.

Copyright 2024 American Medical Association. All rights reserved. ISSN 2376-6980