

PEER-REVIEWED CME ARTICLE: ETHICS CASE

Should Physicians Consider the Environmental Effects of Prescribing Antibiotics?

Jeremy Balch, Julia H. Schoen, MS, and Payal K. Patel, MD, MPH

Editor's Note: To claim one AMA PRA Category 1 Credit™ for the CME activity associated with this article, you must do the following: (1) read this article in its entirety, (2) answer at least 80 percent of the quiz questions correctly, and (3) complete an evaluation. The quiz, evaluation, and form for claiming AMA PRA Category 1 Credit™ are available through the [AMA Education Center](#).

Abstract

Pharmaceuticals are beginning to receive attention as a source of pollution in aquatic environments. Yet the impact of physician prescription patterns on water resources is not often discussed in clinical decision making. Here, we comment on a case in which empiric antibiotic treatment might benefit a patient while simultaneously being detrimental to the aquatic environment. We first highlight the potential harm caused by this prescription from its production to its disposal. We then suggest that Van Rensselaer Potter's original conceptualization of bioethics can be used to balance clinicians' obligations to protect individual, public, and environmental health.

Case

Dr. Turner, a hospitalist, is called to assess Mr. Johnson, an elderly patient with a history of urinary tract infections (UTIs), who presented to the ER with altered mental status. Urine cultures are pending but previous cultures have grown *Escherichia coli* (*E. coli*) with an extended-spectrum beta-lactamase (ESBL) gene. Although Mr. Johnson improves with intravenous fluids, Dr. Turner plans to treat Mr. Johnson with ciprofloxacin, a broad-spectrum fluoroquinolone-class antibiotic, to cover the *E. coli* that he has grown in the past. Dr. Turner recently read that this drug can persist, unaltered, in the hospital's wastewater collection system and in the municipal wastewater water treatment plant (WWTP). While much will be filtered, a quantifiable amount will end up in Mr. Johnson's local watershed. How should Dr. Turner, other physicians, and their institutions weigh the benefits that individual patients derive from pharmaceutical treatment with the contamination risk this treatment poses to freshwater resources used by entire communities and ecosystems?

Commentary

Production and disposal of antibiotics, and their impact on water supplies, is often overlooked in health care. The AMA *Code of Medical Ethics* focuses primarily on a physician's responsibility to her patient, whose autonomy (or her surrogate's) can only be superseded in special circumstances for public health [1, 2] or when required by law [3]. In terms of public health, pharmaceuticals pose well-known threats to water quality, most famously documented in the feminization of fish in the United Kingdom [4], but also in the increasing spread of antibiotic resistance in surface waters [5]. In this paper, we trace the impact of ciprofloxacin from its production to its disposal to shed light on the ethical dilemmas posed by antibiotic contamination of aquatic environments. While a clinician's duty to meet the needs of her patients is undeniable, these needs must be balanced with a moral imperative to minimize the impact of antibiotics on the environment and on other community members, including future generations.

Pollution of Aquatic Environments

The ciprofloxacin prescribed to Mr. Johnson is a synthetic fluoroquinolone antibiotic [6]. In the US, the Food and Drug Administration (FDA) places limits on the oxygen demand and solid sediment allowed in wastewater produced during pharmaceutical manufacturing [7]. However, these regulations do not prevent the release of pharmaceuticals into surface water. Trace amounts of at least 82 pharmaceuticals and other organic wastewater contaminants are present in streams across the US [8]. In India, where 39 percent of pharmaceutical plants that manufacture domestically consumed drugs are located, fluoroquinolone levels toxic to plants, algae, and bacteria have been found in wastewater discharged from drug manufacturers [9-11]. Antibiotic-resistant bacteria such as ESBL gram-negative bacteria and carbapenem-resistant Enterobacteriaceae have been found in surface waters and tap water in the same region [12]. Given the ease with which antibiotic-resistant genes can traverse continents, we must consider the global impacts of antibiotic production and effluent [13].

Locally, administration of Mr. Johnson's ciprofloxacin has a direct impact on his community. Classified as a nonhazardous waste, extra amounts of ciprofloxacin mixed by Mr. Johnson's (or any) pharmacist can be discarded down a common drain [14]. Once administered, up to 92 percent of the drug will be excreted unchanged in urine and feces [15]. It will join other ecotoxic contrast materials, antihypertensives, and synthetic hormones on their way to the WWTP [16].

Harm to Human and Environmental Health

Harm to human health. While some antibiotics are removed during wastewater treatment, many are discharged into receiving surface waters or deposited on soils in the form of sludge [5, 17]. Multiple studies have found antibiotics and antibiotic-resistant bacteria such as ESBL *E. coli* downstream of hospitals and local WWTPs [18-23], likely contributing to the epidemiology of antibiotic resistance [20]. Current research has yet to

demonstrate a direct link between wastewater and human infections, but resistant bacteria in streams and soil can be taken up by crops, livestock, or humans [24]. Although the exact route of infection has not been established, there are several possible mechanisms. These microbes might be ingested through direct contact with streams or through the crops themselves, either causing immediate illness or colonizing a person's gastrointestinal tract [25]. If a person later becomes sick from this microbiota, he or she could already be resistant to antibiotic treatment.

Harm to the environment. Fluoroquinolones and sulfonamides have been shown to interfere with nitrogen processing in the environment, leading to buildup of harmful nitrogen and resultant toxicity to nonhuman species [26, 27]. The predicted aquatic concentrations of amoxicillin and oxytetracycline released from WWTP annually in England exceed limits that are toxic to aquatic ecosystems [28]. Although direct harm to vertebrates from environmental antibiotic exposure is challenging to measure, pharmaceuticals in the environment can be carcinogenic, sterilizing, or fatal to species ranging from microbiota to rainbow trout [29]. These changes also contribute to loss of biodiversity [30].

Ethical Dilemmas and the Origins of Bioethics

Two ethical dilemmas are posed by prescribing empiric antibiotics to Mr. Johnson: (1) do the benefits that he derives as an individual outweigh the harm his prescription poses to the environment; and (2) do these benefits justify the consequences of antibiotic resistance and decreased water quality for other community members, including future generations?

To approach the dilemmas above, we can turn to the original conceptualization of bioethics as a tool for decision making. In 1970, Van Rensselaer Potter introduced the term *bioethics* [31]. He argued that human survival depended on adequate resource allocation and that ethics should prioritize our ecological support systems [32]. Potter's bioethics was not widely adopted and the term came to describe biomedical ethics [31, 33]. This form of ethics has traditionally been limited to individual patients and clinicians while environmental ethics focuses on the broader ecology and includes regard for sustainability as an ethical and not just a biological value [33]. Common ground exists in both fields to preserve resources and prevent the spread of disease [33].

Individual benefit versus environmental harm. If Mr. Johnson truly had a UTI, morbidity can include nephrolithiasis (kidney stone), pyelonephritis (kidney infection), and urosepsis (bloodstream infection). Moreover, delays in antibiotic therapy have been shown to increase mortality [34]. However, without observable signs or symptoms of these complications, the individual benefits could be small compared to the harms to the environment if all patients in Mr. Johnson's situation received antibiotics. In the US in 2014, about 21 million prescriptions were written for ciprofloxacin alone [35]. The CDC

estimates that up to 30 percent of outpatient [antibiotic prescriptions are unnecessary](#), including those which would have been prescribed for suspected UTI [36]. This magnitude of unnecessary antibiotic prescriptions demands intervention at the prescriber, institutional, and national level.

Individual benefit versus community harm. Clinicians and institutions can incorporate existing tools, such as the Treat Systems decision-support system (TREAT model) [37], to balance scientific and economic analysis and value-based judgements of generally acceptable risk in prescribing decisions. For a given course of antibiotics, the model balances the expected benefit (i.e., greater chance of survival) and the expected costs, including the dollar amount of a drug, its side effects, its contribution to future resistance, and even its effect on the mortality of other community members, including future generations [37]. Moral decisions about costs of reduced quality of life and the value of life for present and future patients underpin the TREAT model [37]. Without such models, the true cost of antibiotics will go unheeded. Antibiotics are not benign medications and their risks need to be fully accounted for and discussed with patients. Public and scientific discourse to determine who should receive treatment would be necessary to generate ethical guidelines for antibiotic use [38, 39].

In the meantime, antibiotic stewardship programs (ASPs) have already been successful at optimizing patient outcomes while minimizing toxicity, costs, and the potential for microbial resistance within hospitals [40, 41]. ASPs are coordinated programs led by an infectious disease physician and a pharmacist that promote appropriate antibiotic use, reduce microbial resistance, and improve patient outcomes. We traditionally think about stewardship in the context of antibiotics. However, as we develop a greater understanding of the ecotoxicity of other pharmaceuticals and their impacts on public health, the principles of stewardship applied to antibiotics might prove useful for other pharmaceuticals. The Choosing Wisely® campaign uses this stewardship framework [42]. Sponsored by the American Board of Internal Medicine and Consumer Reports, [Choosing Wisely](#) asks medical societies to publish five tests or interventions performed inappropriately [42]. The campaign also promotes conversations between patients and clinicians about these tests [42]. Sweden and the European Union have categorized drugs according to environmental risk to guide prescribers and institutions [43], and this data could be incorporated into existing prescribing models.

Conclusion

Mr. Johnson's case highlights the tension between protecting an individual and minimizing harm to water sources and public health. While the environmental and public health consequences are clear, the ethical question of whether and how they should influence prescribing practices remains unresolved. Prescribers should cultivate greater environmental awareness of the fate of pharmaceuticals through medical education and through institutional and policy-level interventions such as the TREAT model, ASPs, and

the Choosing Wisely campaign. These programs would also help identify appropriate prescribing habits and which antibiotics have the most benign environmental profile. We need continued research to identify sites and quantities of pharmaceutical release in aquatic environments and their impact on human and environmental health. As the influence of environmental degradation on human health becomes increasingly clear, the health care community needs to examine its roles in current environmental contamination trends and work towards creating a healthier planet for all of us.

References

1. American Medical Association. Opinion 8.1 Routine universal screening for HIV. *Code of Medical Ethics*. <https://www.ama-assn.org/sites/default/files/media-browser/code-of-medical-ethics-chapter-8.pdf>. Published 2016. Accessed August 10, 2017.
2. American Medical Association. Opinion 8.4 Ethical use of quarantine and isolation. *Code of Medical Ethics*. <https://www.ama-assn.org/sites/default/files/media-browser/code-of-medical-ethics-chapter-8.pdf>. Published 2016. Accessed August 10, 2017.
3. American Medical Association. Opinion 8.10 Preventing, identifying and treating violence and abuse. *Code of Medical Ethics*. <https://www.ama-assn.org/sites/default/files/media-browser/code-of-medical-ethics-chapter-8.pdf>. Published 2016. Accessed August 10, 2017.
4. Ankley GT, Brooks BW, Huggett DB, Sumpter JP. Repeating history: pharmaceuticals in the environment. *Environ Sci Technol*. 2007;41(24):8211-8217.
5. Kümmerer K. Resistance in the environment. *J Antimicrob Chemother*. 2004;54(2):311-320.
6. Leshner GY, Froelich EJ, Gruett MD, Bailey JH, Brundage RP. 1,8-naphthyridine derivatives. A new class of chemotherapeutic agents. *J Med Pharm Chem*. 1962;91:1063-1065.
7. Pharmaceutical manufacturing point source category, 40 CFR sec 439 (2004). <https://www.gpo.gov/fdsys/pkg/CFR-2004-title40-vol28/pdf/CFR-2004-title40-vol28-part439.pdf>. Accessed August 17, 2017.
8. Kolpin DW, Furlong ET, Meyer MT, et al. Pharmaceuticals, hormones, and other organic wastewater contaminants in US streams, 1999-2000: a national reconnaissance. *Environ Sci Technol*. 2002;36(6):1202-1211.
9. Harris G. Concerns grow in the US over drugs made abroad. *New York Times*. January 20, 2009. <http://www.nytimes.com/2009/01/20/business/worldbusiness/20iht-drug.1.19514627.html>. Accessed April 30, 2017.
10. *Securing the Pharmaceutical Supply Chain: Hearings Before the Senate Committee on Health, Education, Labor and Pensions*, 112th Cong, 1st Sess (2011) (testimony of Deborah M. Autor, FDA deputy commissioner for Global Regulatory Operations

and Policy). <https://wayback.archive-it.org/7993/20170406161719/https://www.fda.gov/NewsEvents/Testimony/ucm271073.htm>. Accessed August 17, 2017.

11. Larsson DGJ, de Pedro C, Paxeus N. Effluent from drug manufactures contains extremely high levels of pharmaceuticals. *J Hazard Mater*. 2007;148(3):751-755.
12. Lübbert C, Baars C, Dayakar A, et al. Environmental pollution with antimicrobial agents from bulk drug manufacturing industries in Hyderabad, South India, is associated with dissemination of extended-spectrum beta-lactamase and carbapenemase-producing pathogens. *Infection*. 2017;45(4):479-491.
13. Walsh TR, Weeks J, Livermore DM, Toleman MA. Dissemination of NDM-1 positive bacteria in the New Delhi environment and its implications for human health: an environmental point prevalence study. *Lancet Infect Dis*. 2011;11(5):355-362.
14. Practice Greenhealth. Managing pharmaceutical waste: a 10-step blueprint for healthcare facilities in the United States. <http://www.hercenter.org/hazmat/tenstepblueprint.pdf>. Published 2006. Revised August 2008. Accessed August 17, 2017.
15. DynaMed Plus. Ciprofloxacin. <http://www.dynamed.com/topics/dmp~AN~T908249/Ciprofloxacin>. Published 2015. Updated August 7, 2017. Accessed August 17, 2017.
16. Verlicchi P, Al Aukidy M, Galletti A, Petrovic M, Barceló D. Hospital effluent: investigation of the concentrations and distribution of pharmaceuticals and environmental risk assessment. *Sci Total Environ*. 2012;430:109-118.
17. Verlicchi P, Al Aukidy M, Zambello E. Occurrence of pharmaceutical compounds in urban wastewater: removal, mass load and environmental risk after a secondary treatment—a review. *Sci Total Environ*. 2012;429:123-155.
18. Bréchet C, Plantin J, Sauget M, et al. Wastewater treatment plants release large amounts of extended-spectrum beta-lactamase-producing *Escherichia coli* into the environment. *Clin Infect Dis*. 2014;58(12):1658-1665.
19. Caplin JL, Hanlon GW, Taylor HD. Presence of vancomycin and ampicillin-resistant *Enterococcus faecium* of epidemic clonal complex-17 in wastewaters from the south coast of England. *Environ Microbiol*. 2008;10(4):885-892.
20. Rodriguez-Mozaz S, Chamorro S, Marti E, et al. Occurrence of antibiotics and antibiotic resistance genes in hospital and urban wastewaters and their impact on the receiving river. *Water Res*. 2015;69:234-242.
21. Varela AR, Ferro G, Vredenburg J, et al. Vancomycin resistant enterococci: from the hospital effluent to the urban wastewater treatment plant. *Sci Total Environ*. 2013;450-451:155-161.
22. Verlicchi P, Galletti A, Petrovic M, Barceló D. Hospital effluents as a source of emerging pollutants: an overview of micropollutants and sustainable treatment options. *J Hydrol*. 2010;389(3-4):416-428.

23. Zhang Y, Marrs CF, Simon C, Xi C. Wastewater treatment contributes to selective increase of antibiotic resistance among *Acinetobacter* spp. *Sci Total Environ*. 2009;407(12):3702-3706.
24. Berglund B. Environmental dissemination of antibiotic resistance genes and correlation to anthropogenic contamination with antibiotics. *Infect Ecol Epidemiol*. 2015;5:28564. <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC4565060/>. Accessed August 17, 2017.
25. Kothari C, Gaiind R, Singh LC, et al. Community acquisition of beta-lactamase producing Enterobacteriaceae in neonatal gut. *BMC Microbiol*. 2013;13:136. <https://bmcmicrobiol.biomedcentral.com/articles/10.1186/1471-2180-13-136>. Accessed August 28, 2017.
26. Roose-Amsaleg C, Laverman AM. Do antibiotics have environmental side-effects? Impact of synthetic antibiotics on biogeochemical processes. *Environ Sci Pollut Res Int*. 2016;23(5):4000-4012.
27. Crane M, Watts C, Boucard T. Chronic aquatic environmental risks from exposure to human pharmaceuticals. *Sci Total Environ*. 2006;367(1):23-41.
28. Jones OAH, Voulvoulis N, Lester JN. Aquatic environmental assessment of the top 25 English prescription pharmaceuticals. *Water Res*. 2002;36(20):5013-5022.
29. Fent K, Weston AA, Caminada D. Ecotoxicology of human pharmaceuticals. *Aquat Toxicol*. 2006;76(2):122-159.
30. Whitmee S, Haines A, Beyrer C, et al. Safeguarding human health in the anthropocene epoch: report of the Rockefeller Foundation–Lancet Commission on planetary health. *Lancet*. 2015;386(10007):1973-2028.
31. Kuhse H, Singer P, eds. *A Companion to Bioethics*. New York, NY: John Wiley and Sons; 2013.
32. Potter VR. Bioethics, the science of survival. *Perspect Biol Med*. 1970;14(1):127-153.
33. Gruen L, Ruddick W. Biomedical and environmental ethics alliance: common causes and grounds. *J Bioeth Inq*. 2009;6(4):457-466.
34. Kumar A, Roberts D, Wood KE, et al. Duration of hypotension before initiation of effective antimicrobial therapy is the critical determinant of survival in human septic shock. *Crit Care Med*. 2006;34(6):1589-1596.
35. US Food and Drug Administration. FDA briefing document: the benefits and risks of systemic fluoroquinolone antibacterial drugs for the treatment of acute bacterial sinusitis (ABS), acute bacterial exacerbation of chronic bronchitis in patients who have chronic obstructive pulmonary disease (ABECB-COPD), and uncomplicated urinary tract infections (uUTI). <https://www.fda.gov/downloads/advisorycommittees/committeesmeetingmaterials/drugs/anti-infectivedrugsadvisorycommittee/ucm467383.pdf>. Published November 5, 2015. Access June 10, 2017.

36. Center for Disease Control and Prevention. Measuring outpatient antibiotic prescribing. <https://www.cdc.gov/getsmart/community/programs-measurement/measuring-antibiotic-prescribing.html>. Updated March 22, 2017. Accessed June 3, 2017.
37. Leibovici L, Paul M, Andreassen S. Balancing the benefits and costs of antibiotic drugs: the TREAT model. *Clinical Microbiol Infect*. 2010;16(12):1736-1739.
38. Shaw DM, Rich LE. Intergenerational global health. *J Bioeth Inq*. 2015;12(1):1-4.
39. Leibovici L, Paul M. Ethical dilemmas in antibiotic treatment: focus on the elderly. *Clin Microbiol Infect*. 2015;21(1):27-29.
40. Patel PK, Srinivasan A. Moving antibiotic stewardship from theory to practice. *J Hosp Med*. 2017;12(5):382-383.
41. Patel PK. Applying the horizontal and vertical paradigm to antimicrobial stewardship. *Infect Control Hosp Epidemiol*. 2017;38(5):532-533.
42. Wolfson D, Santa J, Slass L. Engaging physicians and consumers in conversations about treatment overuse and waste: a short history of the Choosing Wisely campaign. *Acad Med*. 2014;89(7):990-995.
43. Stockholm County Council. Environmentally classified pharmaceuticals. http://www.janusinfo.se/Global/Miljo_och_lakemedel/Miljobroschyr_2014_engelsk_webb.pdf. Published January 2014. Accessed June 11, 2017.

Jeremy Balch is a fourth-year medical student at the University of Michigan in Ann Arbor. He is interested in pursuing an academic career in medicine that focuses on health policy and its impact on our environment and society.

Julia H. Schoen, MS, is a fourth-year medical student at the University of Michigan in Ann Arbor with a master of science degree in environmental engineering. She is interested in bioethics as well as the intersection between environmental issues and medicine.

Payal K. Patel, MD, MPH, is the medical director of antimicrobial stewardship at the Veterans Affairs Ann Arbor Healthcare System in Ann Arbor, Michigan. She is also an assistant professor of infectious diseases at the University of Michigan. Her research and scholarship focus on innovative approaches to antimicrobial stewardship.

Jeremy Balch and Julia H. Schoen contributed equally to this work.

Related in the *AMA Journal of Ethics* and *Code of Medical Ethics*

[A Brief History of Environmental Bioethics](#), September 2014

[Caring for the Health of the Community Means Caring for the Health of the Environment](#), June 2009

[Choosing Wisely: Initiating Conversation and Culture Change around Overuse of Medical Tests and Treatments](#), November 2015

[Educating Patients as Medicine Goes Green](#), June 2009

[Hospitals and "Used Goods"](#), June 2009

[AMA Code of Medical Ethics' Opinion 11.1.2, Physician Stewardship of Health Care Resources](#), June 2016

[Unnecessary Antibiotics](#), June 2006

The people and events in this case are fictional. Resemblance to real events or to names of people, living or dead, is entirely coincidental.

The viewpoints expressed in this article are those of the author(s) and do not necessarily reflect the views and policies of the AMA.

**Copyright 2017 American Medical Association. All rights reserved.
ISSN 2376-6980**